



COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
ATTORNEY DOCKET NO. 15115/008001  
PATENT APPLICATION NO. 10/004,282

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi SHIMIZU, et al. Art Unit:  
Serial No.: 10/004,282 Examiner:  
Filed : October 22, 2001  
Title : ELECTRONIC PAYMENT SYSTEM AND METHOD FOR ELECTRONIC  
PAYMENT

Assistant Commissioner for Patents  
Washington, DC 20231


TRANSMITTAL OF PRIORITY DOCUMENT(S) UNDER 35 U.S.C. 119

Applicant hereby confirms his claim of priority under 35 U.S.C. 119 from Japanese  
Application No. 11 142685 filed May 24, 1999 and Japanese Application No. 2000-063791 filed  
March 8, 2000. A certified copy of both applications from which priority is claimed is submitted  
herewith.

Please charge any fees due in this respect to Deposit Account No. 50-0591, referencing  
15115/008001.

Respectfully submitted,

Date: 4/11/02

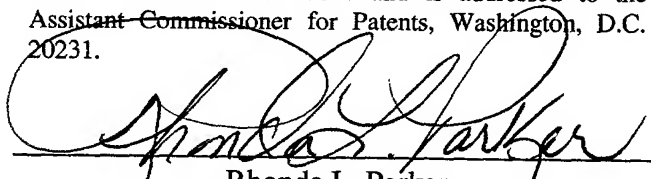
  
Jonathan P. Osha  
Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, TX 77010

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Date of Deposit: 4-11-02

I hereby certify under 37 CFR 1.8(a) that this  
correspondence is being deposited with the United States  
Postal Service as **first class mail** with sufficient postage  
on the date indicated above and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Rhonda L. Parker

**Response To Notice To File Missing Parts Of Application**

**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.

15115/008001

In Re Application Of: Atsushi SHIMIZU, et al.

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Serial No.

10/004,282

Filing Date

October 22, 2001

Examiner

Group Art Unit

Invention: **ELECTRONIC PAYMENT SYSTEM AND METHOD FOR ELECTRONIC PAYMENT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

**February 22, 2002**

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☒ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Five sheets of amended drawings  
Transmittal of Corrected Drawings  
Transmittal of Priority Document  
Japanese Patent Application No. 2000-321445; filed 10/20/00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
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In Re Application Of: Atsushi SHIMIZU, et al.

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Serial No.  
10/004,282

Filing Date  
October 22, 2001

Examiner \_\_\_\_\_

Group Art Unit

Invention: **ELECTRONIC PAYMENT SYSTEM AND METHOD FOR ELECTRONIC PAYMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$740.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 2 \_\_\_\_\_

☒ Total number of claims = 6 \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☒ Fee for processing an application filed with a non-English language specification \$130.00

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,000.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$1,000.00

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
15115/008001

In Re Application Of: Atsushi SHIMIZU, et al.

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Serial No.  
10/004,282

Filing Date  
October 22, 2001

Examiner

Group Art Unit

Invention: **ELECTRONIC PAYMENT SYSTEM AND METHOD FOR ELECTRONIC PAYMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,000.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.

  
Signature

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
1221 McKinney, Suite 2800  
Houston, Texas 77010

Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

Dated: *4/11/02*

I certify that this document and fee is being deposited on **4-11-02** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**Rhonda L. Parker**

Typed or Printed Name of Person Mailing Correspondence

CC:



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PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi SHIMIZU, et al. Art Unit :  
Serial No. : 10/004,282 Examiner :  
Filed : October 22, 2001  
Title : ELECTRONIC PAYMENT SYSTEM AND METHOD FOR ELECTRONIC  
PAYMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Official Draftsman


**SUBMISSION OF CORRECTED DRAWINGS**

In response to the Notice to File Missing Parts mailed February 22, 2002, please substitute the enclosed five (5) sheets of corrected drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to our Deposit Account No. 50-0591, reference Number 15115/008001.

Respectfully submitted,

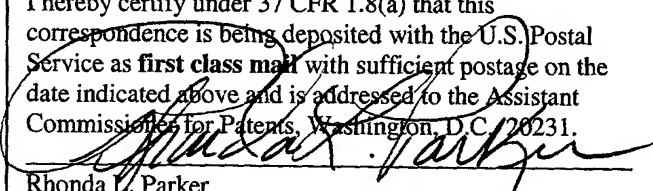
Date: 4/11/02

  
Jonathan P. Osha  
Reg. No. 33,986

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28261\_1.DOC

Date of Deposit <u>4-11-02</u>
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the U.S. Postal Service as <b>first class mail</b> with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 Rhonda L. Parker



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,282	10/22/2001	Atsushi Shimizu	15115.008001

CONFIRMATION NO. 3807

## FORMALITIES LETTER



\*OC000000007520599\*

Rosenthal & Osha L.L.P.  
Suite 4550  
700 Louisiana  
Houston, TX 77002

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 02/22/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

/23/2002 NMOHAMM1 00000078 10004282

FC:101 740.00 OP  
FC:105 130.00 OP  
FC:139 130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom

- margin of at least 1.0 cm. (3/8 inch);
- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

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*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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